



RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 10/798,790
Source: FWO
Date Processed by STIC: 8/11/04

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

~~TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER~~
~~VERSION 4.2 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND~~
~~TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:~~

<http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm>

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

1. EFS-Bio (<<http://www.uspto.gov/ebc/efs/downloads/documents.htm>> , EFS Submission User Manual - ePAVE)
2. U.S. Postal Service: Commissioner for Patents, P.O. Box-1450, Alexandria, VA 22313-1450
3. Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 06/05/04):
U.S. Patent and Trademark Office, 220 20th Street S., Customer Window, Mail Stop Sequence, Crystal Plaza Two, Lobby, Room 1B03, Arlington, VA 22202

Raw Sequence Listing Error Summary

<u>ERROR DETECTED</u>	<u>SUGGESTED CORRECTION</u>	SERIAL NUMBER: <u>10/298,790</u>
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ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE

- 1 Wrapped Nucleics
 Wrapped Aminos The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."

- 2 Invalid Line Length The rules require that a line **not exceed** 72 characters in length. This includes white spaces.

- 3 Misaligned Amino
 Numbering The numbering under each 5th amino acid is misaligned. **Do not use tab codes between numbers; use space characters**, instead.

- 4 Non-ASCII The submitted file was **not saved in ASCII(DOS) text**, as required by the Sequence Rules. **Please ensure your subsequent submission is saved in ASCII text.**

- 5 Variable Length Sequence(s) contain n's or Xaa's representing more than one residue. **Per Sequence Rules, each n or Xaa can only represent a single residue.** Please present the **maximum** number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.

- 6 PatentIn 2.0
 "bug" A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) . Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. **This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.**

- 7 Skipped Sequences Sequence(s) missing. If intentional, please insert the following lines for **each** skipped sequence:
 (OLD RULES) (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)
 (i) **SEQUENCE CHARACTERISTICS:** (Do not insert any subheadings under this heading)
 (xi) **SEQUENCE DESCRIPTION:** SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)
 This sequence is intentionally skipped

Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences.

- 8 Skipped Sequences Sequence(s) 5 missing. If intentional, please insert the following lines for **each** skipped sequence.
 (NEW RULES) <210> sequence id number
 <400> sequence id number
 000

← This is the only valid format.

- 9 Use of n's or Xaa's Use of n's and/or Xaa's have been detected in the Sequence Listing.
 (NEW RULES) Per 1.823 of Sequence Rules, use of <220>-<223> is **MANDATORY** if n's or Xaa's are present. In <220> to <223> section, please explain location of **n** or **Xaa**, and which residue **n** or **Xaa** represents.

- 10 Invalid <213>
 Response Per 1.823 of Sequence Rules, the only **valid** <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is **required** when <213> response is Unknown or is Artificial Sequence

- 11 Use of <220> Sequence(s) missing the <220> "Feature" and associated numeric identifiers and responses. Use of <220> to <223> is **MANDATORY** if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section.
 (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)

- 12 PatentIn 2.0
 "bug" Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.

- 13 Misuse of n/Xaa "**n**" can only represent a single nucleotide; "**Xaa**" can only represent a single amino acid



IFWO

RAW SEQUENCE LISTING

DATE: 08/11/2004

PATENT APPLICATION: US/10/798,790

TIME: 16:21:30

Input Set : A:\REL4-2US.ST25.txt

Output Set: N:\CRF4\08112004\J798790.raw

3 <110> APPLICANT: Totey, Satish
 4 Ravindran, Geeta
 6 <120> TITLE OF INVENTION: DERIVATION OF TERMINALLY DIFFERENTIATED DOPAMINERGIC NEURONS
 FROM
 7 HUMAN EMBRYONIC STEM CELLS
 9 <130> FILE REFERENCE: REL494/4-002US
 11 <140> CURRENT APPLICATION NUMBER: 10/798,790
 12 <141> CURRENT FILING DATE: 2004-03-11
 14 <150> PRIOR APPLICATION NUMBER: 269/Mum/2003
 15 <151> PRIOR FILING DATE: 2003-03-12
 17 <150> PRIOR APPLICATION NUMBER: 60/520,048
 18 <151> PRIOR FILING DATE: 2003-11-14
 20 <160> NUMBER OF SEQ ID NOS: 12
 22 <170> SOFTWARE: PatentIn version 3.3

ERRORED SEQUENCES

60 <210> SEQ ID NO: 5
 61 <211> LENGTH: 0
 62 <212> TYPE: DNA
 63 <213> ORGANISM: Homo sapiens
 65 <400> SEQUENCE: 5
 E--> 65 5

Does Not Comply
 Corrected Diskette Needed

see P.2

10/798,790

2

<210> 5
<211> 0
<212> DNA
<213> Homo sapiens

<400> 5
<210> 6

If this is an intentionally skipped sequence, please use the format shown in item 8 on Error Summary Sheet

VERIFICATION SUMMARY

PATENT APPLICATION: US/10/798,790

DATE: 08/11/2004

TIME: 16:21:32

Input Set : A:\REL4-2US.ST25.txt

Output Set: N:\CRF4\08112004\J798790.raw

L:65 M:301 E: (44) No Sequence Data was Shown, SEQ ID:5